

# PRIVACY NOTIFICATION

## IMPORTANT INFORMATION TO KNOW

### BECAUSE YOUR TRUST IS SO IMPORTANT TO US

Your trust is the cornerstone of our relationship and we work diligently to safeguard your privacy. The information that you provide us is kept in the strictest of confidence. We have no intention of selling personal information about our clients to third parties. We are proud to make that commitment to you because your trust is the foundation of our business.

The following privacy notice explains how we use and protect the information about our clients. We ask that you read it carefully and contact us with any questions.

#### ARIZONA

2701 E. Camelback Road, Ste. 120  
Phoenix, AZ 85016  
(602) 468-1232

#### CALIFORNIA

12220 El Camino Real, Ste. 120  
San Diego, CA 92130  
(858) 523-4681

#### NEVADA

2700 W. Sahara Avenue  
Las Vegas, NV 89102  
(702) 252-6465



**Miller | Russell**  
& ASSOCIATES

Registered Investment Advisor

## NOTICE OF YOUR FINANCIAL PRIVACY RIGHTS

We, our, and us, when used in this notice, mean Miller|Russell & Associates LLC (Miller|Russell). When we use the words “you” and “your” we mean our clients who have a continuing relationship with us by using our investment advisory or consulting services.

We will tell you the sources of information we collect about you as well as the measures we take to secure that information. We will first define some terms.

- **Nonpublic personal information** means information about you that we collect in connection with our services to you. Nonpublic personal information does not include information that is available from public sources, such as telephone directories or government records. Hereafter, we will use the term “information” to mean nonpublic personal information as defined in this section.
- An **affiliate** is a company that is related to another company through shared ownership.
- A **nonaffiliated third party** is a person we do not employ or a company that is not an affiliate of ours. This is also known as an unaffiliated third party, or simply, “other party”.

## INFORMATION WE COLLECT

We collect information about you from the following sources:

- Information you give us on applications or other forms, and in personal interviews;
- Information about your transactions with us, our affiliates, or others;
- Information we receive from your trusted advisors (accountants, attorneys, third party administrators, etc.).

## INFORMATION WE DISCLOSE ABOUT YOU

We do NOT disclose any information about you to anyone, except as required or permitted by law. Examples of this may include disclosing to certain nonaffiliated third parties such as, but not limited to, attorneys, accountants, auditors, and persons or entities that are assessing our compliance within industry standards. We enter into contractual agreements with all nonaffiliated third parties that prohibit such third parties from disclosing or using the information other than to carry out the purposes for which we disclose the information.

## CONFIDENTIALITY, SECURITY AND INTEGRITY OF YOUR INFORMATION

We restrict access to information about you to those employees who need to know that information in order to provide services to you. We maintain physical, electronic, and procedural safeguards to protect this information.

## INFORMATION ABOUT FORMER CLIENTS

We do not disclose information about former clients, except as required or permitted by law.

## DISCLOSURE OF INFORMATION WITH YOUR CONSENT

If you would like Miller|Russell to provide information to otherwise unauthorized parties you must first provide written authorization for us to release this information. Please call our Phoenix office to request an authorization form.